PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number 10/063.803 Application Number

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application rediliber		
Filing Date	05/15/2002	
First Named Inventor	Honeyman	
Group Art Unit	1741	
Examiner Name		

Total Number of Pages in This Submission 14 Attorney Docket Number H-307

		ENCLOSURES (check	all that apply)
Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/decl Extension of Time Re Express Abandonmen Information Disclosur Certified Copy of Priod Document(s) Response to Missing Incomplete Application Response to Munder 37 CFR	laration(s) equest int Request re Statement prity Parts/ On Missing Parts	Assignment Papers (for an Application) Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s)	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Withdrawal of claim of small entity status
	SIGNATURE	OF APPLICANT, ATTORNEY, OR	AGENT
Firm or Individual name		David J. Cole	
Signature Date		David T- Cor 07/19/2002	l'

CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date Typed or printed name Signature

Date Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, Washington DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents. Washington, DC 20231. PTO/SB/17 (10-01)
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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL

Application Number 10/063,803

for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)	1417.00
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Complete If Known		
Application Number	10/063,803	
Filing Date	05/15/2002	
First Named Inventor	Honeyman	
Examiner Name		
Group Art Unit	1741	
Attorney Docket No.	H-307	

METHOD OF PAYMENT	FEE CALCULATION (continued)				
1. The Commissioner is hereby authorized to charge indicated fees and credit any overnayments to:	3. ADDITIONAL FEES				
Denosit	Large Small				
Account 501162	Entity Entity Fee Fee Fee Fee Fee Fee Fee	Fee Paid			
Number Deposit Flak Councies	Fee Fee Fee Fee Fee Description Code (\$) Code (\$)				
Account Name E Ink Corporation	105 130 205 65 Surcharge - late filing fee or oath	130.00			
Charge Any Additional Fee Required Under 37 CFR 1,16 and 1,17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet				
Applicant claims small entity status.	139 130 139 130 Non-English specification				
See 37 CFR 1.27	147 2,520 147 2,520 For filling a request for ex parte reexamination				
2. Payment Enclosed: Check Credit card Money Other	112 920° 112 920° Requesting publication of SIR prior to Examiner action				
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action				
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month				
Large Entity Small Entity	116 400 216 200 Extension for reply within second month				
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 920 217 460 Extension for reply within third month				
101 740 201 370 Utility filing fee	118 1,440 218 720 Extension for reply within fourth month				
106 330 206 165 Design filing fee	128 1,960 228 980 Extension for reply within fifth month				
107 510 207 255 Plant filling fee	119 320 219 160 Notice of Appeal				
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal				
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing				
	138 1,510 138 1,510 Petition to institute a public use proceeding				
SUBTOTAL (1) (\$)	140 110 240 55 Petition to revive - unavoidable				
2. EXTRA CLAIM FEES	141 1,280 241 640 Petition to revive - unintentional				
Extra Claims below Fee Pald	142 1,280 242 640 Utility issue fee (or reissue)				
Total Claims20** = X =	143 460 243 230 Design issue fee				
Claims -3 - L	144 620 244 310 Plant issue fee				
Multiple Dependent	122 130 122 130 Petitions to the Commissioner				
1 5.00	123 50 123 50 Processing fee under 37 CFR 1.17(q)	[
Large Entity Small Entity Fee Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt				
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)				
102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection				
104 280 204 140 Multiple dependent claim, if not paid	(37 CFR § 1.129(a))				
109 84 209 42 ** Reissue Independent claims over original patent	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))				
110 18 210 9 ** Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)				
and over original patent	169 900 169 900 Request for expedited examination of a design application				
SUBTOTAL (2) (\$)	Other fee (specify) Fee deficiency	1287.00			
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 14	17.00			

SUBMITTED BY	SUBMITTED BY Complete (if applicable)			f applicable)	
Name (Print/Type)	David J. Cole	Registration No. (Attomey/Agent)	29629	Telephone	617-499-6069
Signature	Dain J. Co	de		Date	07/19/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



Certificate of Transmission under 37 CFR 1.8

I hereby certify that these papers (Certificate of Transmission under 37 CFR 1.8, Transmittal Form (PTO/SB/21), Fee Transmittal Form (PTO/SB/17), Response to Notice to File Missing Parts, Declaration (2 copies of 3 sheets), Copy of Notice to File Missing Parts, and Withdrawal of Claim to Small Entity Status) are being facsimile transmitted to the United States Patent and Trademark Office on facsimile number 703-746-9195 on July 19, 2002 (Total 15 pages, including this Certificate).

Signature

David J. Cole

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit:

Not yet known

Application of:

Honeyman et al.

Serial No.: Filed:

10/063,803

For:

May 15, 2002 ELECTROPHORETIC PARTICLES AND

PROCESSES FOR THE PRODUCTION THEREOF

Cambridge, Massachusetts July 19, 2002

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington DC 20231

Via facsimile to 703-746-9195

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application, issued May 29, 2002 in connection with the above application, applicants are filing herewith a Declaration signed by the inventors, and a Fee Transmittal (Form PTO/SB/17) authorizing the charging of the surcharge required by 37 CFR 1.16(e) to the assignee's Deposit Account. The duplicate copy of the Notice also accompanies this filing. Since the claim to small entity form is being withdrawn (see the Withdrawal of Claim to Small Entity Status under 37 CFR 1.28(c) and Payment of Fee Deficiency filed herewith), the surcharge is being paid in the amount applicable for a large entity.

Because one of the inventors is no longer an employee of E Ink Corporation, it was necessary to execute the enclosed Declaration in two separate copies. However, based upon previous experience, the undersigned attorney assumes that this will not be objectionable to the Office.

Honeyman et al. Serial No. 10/063,803 Response to Notice to File Missing Parts Page 2

Respectfully submitted

David J. Cole Registration No. 29629

E INK Corporation 733 Concord Avenue Cambridge MA 02138

Telephone (617) 499-6069 Fax (617) 499-6200 E-mail dcole@eink.com



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS

UNITED STATES PATENT AND TRADEMARK OFFICE

www.usptc.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/063,803

05/15/2002

Charles H. Honeyman

H-307

26245 DAVID J COLE E INK CORPORATION 733 CONCORD AVE CAMBRIDGE, MA 02138-1002 CONFIRMATION NO. 2740
FORMALITIES LETTER
OC000000008198304

Date Mailed: 05/29/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

• \$65 Late oath or declaration Surcharge.

A copy of this notice MUST be returned with the reply.

07/30/2002 HNOHOMM1 00000001 501162 10063803

01 FC:205

65.00 CH

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY